Form 144 Filer Information SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

### 144: Filer Information

Filer CIK 0001856296
Filer CCC XXXXXXXX
Is this a LIVE or TEST Filing? • LIVE • TEST

**Submission Contact Information** 

Name Phone

E-Mail Address

### 144: Issuer Information

Name of Issuer Roivant Sciences Ltd.

SEC File Number 001-40782

7th Floor 50 Broadway

Address of Issuer London

UNITED KINGDOM

 $SW1H\ 0DB$ 

Phone 44 207 400 3347

Name of Person for Whose Account the Securities are To Be Sold Kumar Rakhi

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Chief Accounting Officer

## **144: Securities Information**

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value		Approximate Date of Sale	Name the Securities Exchange
Common shares, \$0.0000000341740141 par value per share (Common Shares)	E*TRADE FINANCIAL CORPORATION 3 EDISON DRIVE ALPHARETTA GA 30005	3099	28882.68	758427350	05/22/2023	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

### 144: Securities To Be Sold

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired	this Date a Donor Gift?	Amount of Securities I Acquired	Date of Payment	Nature of Payment *
Common Shares	05/20/2023	Restricted Stock Units granted under the Roivant Sciences Ltd. Amended and Restated 2015 Equity Incentive Plan	Roivant Sciences Ltd.		3099	05/22/2023 N/	A

<sup>\*</sup> If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	Title of Securities Sold	Date of See	mount of ecurities Gross Proceeds Sold
Rakhi Kumar c/o Roivant Sciences Ltd. 7th Floor, 50 Broadway London X0 SW1H 0DB	Common Shares	03/21/2023 2134	4 15578.2
Rakhi Kumar c/o Roivant Sciences Ltd. 7th Floor, 50 Broadway London X0 SW1H 0DB	Common Shares	04/26/2023 8783	30 762283.86
Rakhi Kumar c/o Roivant Sciences Ltd. 7th Floor, 50 Broadway London X0 SW1H 0DB	Common Shares	04/27/2023 7096	69 603673
Rakhi Kumar c/o Roivant Sciences Ltd. 7th Floor, 50 Broadway London X0 SW1H 0DB	Common Shares	04/28/2023 7198	89 609829.07
Rakhi Kumar c/o Roivant Sciences Ltd. 7th Floor, 50 Broadway London X0 SW1H 0DB	Common Shares	05/01/2023 6221	19 533974.37
Rakhi Kumar c/o Roivant Sciences Ltd. 7th Floor, 50 Broadway London X0 SW1H 0DB	Common Shares	05/02/2023 1551	17 130685.55

# 144: Remarks and Signature

Remarks

The securities included in Table I represent a maximum number of shares that may be sold to cover the reporting person's tax obligations when restricted stock units belonging to the reporting person are settled. The securities reported in Tables I do not represent discretionary trades by the reporting person, and will be sold, or were sold, as applicable, for the limited purpose of satisfying tax withholding obligations. The securities included in Table II sold on March 20, 2023 represent shares sold to cover the reporting person's tax obligations when restricted stock units belonging to the reporting person were settled. Table II does not include shares that were "net settled" by the Issuer in order to satisfy applicable tax withholding obligations

in connection with the vesting and settlement of Restricted Stock Units previously granted to the reporting person.

Date of Notice 05/22/2023

Date of Plan Adoption or Giving of

02/17/2022

Instruction, If Relying on Rule

10b5-1

**ATTENTION:** 

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature /s/ Rakhi Kumar

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)