FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					or Sec	tion 3	80(h) o	f thè	Ínvestm	ent C	ompany Act o	f 194	10							
Name and Address of Reporting Person*     Sumitomo Chemical Co., Ltd.					2. Issuer Name and Ticker or Trading Symbol Roivant Sciences Ltd. [ ROIV ]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director X 10% Owner					
(Last)	(F	irst) (I	Middle)		3. Date 06/22			Trai	nsaction	(Mon	th/Day/Year)					er (give titl			r (specify	
TOKYO NIHOMBASHI TOWER 7-1, NIHONBASHI 2-CHOME				4. If Ar	4. If Amendment, Date of Original Filed (Month/Day/Year)							ar)	Individual or Joint/Group Filing (Check Applicable Line)  Form filed by One Reporting Person							
(Street)					X Form filed by More than One Repo															
CHUO-KU, TOKYO 103-6020					Rule	e 10	0b5-	1(c	) Tra	nsa	ction Ind	ica	tion	l						
(City) (State) (Zip)											insaction was n litions of Rule 1					truction or v	vritten p	plan that is	intended to	
		Table	I - Non-De	rivat	tive S	ecu	rities	Ac	quired	l, Di	sposed of	, or	Ber	nefic	ially Owı	ned				
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Ye				ear) Ex	ecuti any	Deemed cution Date, ny nth/Day/Year)		3. Transaction Code (Instr. 8)			Acquired (A) or (D) (Instr. 3, 4 a			5. Amod Securit Benefic Owned Followi	ies cially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount	(A (C	A) or D)	Price	Reporte Transac	Reported Transaction(s) (Instr. 3 and 4)		,	, ,		
Common Shares 06/22/2				2/202	.3			S <sup>(1)</sup>		15,116,277 D		\$8.0	5 71,2	71,251,083		I	See footnote <sup>(2)</sup>			
		Tab									posed of, convertib					ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	_ ^	4. Transactior Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration (Month/Day			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4		of s ng e nd 4)	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie: Beneficia Owned Following Reported Transacti (Instr. 4)	e s illy	10. Ownershi Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	or Nu of	mber ares						
Name and Address of Reporting Person*     Sumitomo Chemical Co., Ltd.																•			,	
		(First) ASHI TOWER I 2-CHOME	(Middle)																	
(Street) CHUO-KU, TOKYO M0			103-602	20																
(City)		(State)	(Zip)																	
1. Name and Address of Reporting Person* <u>Sumitomo Pharma Co., Ltd.</u>																				
(Last) (First) (Middle) 6-8 DOSHOMACHI 2-CHOME																				
(Street) CHUO-KU, OSAKA M0 541-0045																				

(State)

(Zip)

(City)

1. The sale reported on this Form 4 was effected pursuant to a privately negotiated transaction between Sumitomo Pharma Co., Ltd. (formerly Sumitomo Dainippon Pharma Co., Ltd.) ("Sumitomo Pharma") and four purchasers. In connection with the transaction, Sumitomo Pharma entered into a customary lock-up agreement with Roivant Sciences Ltd. ("Roivant") covering the common shares that continue to be beneficially owned by Sumitomo Pharma following the transaction, which lock-up agreement is effective through February 29, 2024. The buyers also entered into customary lock-up agreements with Roivant covering the common shares acquired in the transaction, which lock-up agreements are effective through February 29, 2024.

2. Sumitomo Pharma directly owns 71,251,083 Common Shares. Sumitomo Pharma is a 51.76% owned subsidiary of Sumitomo Chemical Co., Ltd. ("Sumitomo"). Sumitomo may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Exchange Act of 1934, as amended) the reported securities that Sumitomo Pharma owns. Sumitomo disclaims beneficial ownership of such reported securities except to the extent of its pecuniary interest therein.

#### Remarks:

Exhibit 24.1 - Power of Attorney (Sumitomo)

Sumitomo Pharma Co., Ltd.,

By: /s/ Yutaka Wakemi,

Name Victor Wilson Title 06/20

Name: Yutaka Wakemi, Title: 06/26/2023

Senior Director, Global

<u>Strategy</u>

Sumitomo Chemical Co.,

Ltd., By: /s/ Jeremy Cleveland, as Attorney-in-

06/26/2023

**Fact** 

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

#### POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS, that the undersigned, hereby constitutes and appoints Jeremy Cleveland, signing singly and with full power of substitution and resubstitution, the undersigned's true and lawful attorney-infact to:

- (1) execute for and on behalf of each of the undersigned, in the undersigned's capacity as an executive officer or director of Sumitomo Chemical Co., Ltd. (the "Company"), Forms 4, including any amendments thereto, in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules and regulations thereunder (the "Exchange Act"), solely with respect to the sale of securities of Roivant Sciences Ltd. (the "Securities");
- (2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 4, including any amendments thereto, and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority, solely with respect to the Securities; and
- (3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interests of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until August 30, 2023, when it shall automatically expire, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-infact.

### [Signature page to follow]

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 15th day of June, 2023.

Sumitomo Chemical Co., Ltd.

By: /s/ Takeo Kitayama

Name: Takeo Kitayama Title: Executive Manager General Manager

Corporate Planning Office