SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-0104 OMB Number:

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>VIKING GLOBAL</u> <u>PERFORMANCE LLC</u>		2. Date of E Requiring S (Month/Day 10/01/202	Statement //Year)	tement Roivant Sciences Ltd [ROIV]					
PERFORMANCE LLC 1 (Last) (First) (Middle) 55 RAILROAD AVENUE (Street) (Street) 06830		=		4. Relationship of Reporting Issuer (Check all applicable) Director				 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing 	
		_		Officer (give title below)	Other below)	(specify	(Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person		
(City) (Stat	e) (Zip)	-							
	Т	able I - Non	-Derivat	ive Securities Benefic	ially O	wned			
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Shares				751,766		I See		See Explanation of Responses ⁽¹⁾⁽²⁾ ³⁾⁽⁸⁾	
Common Shares				36,836,555			See Explanation of Responses		of Responses ⁽¹⁾⁽²⁾
Common Shares			35,539,877				ee Explanation of Responses ⁽¹⁾⁽²⁾)(6)(8)		
Common Shares			15,110,502		I See Explanation of Response		of Responses ⁽¹⁾⁽²⁾		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 4) Expiration Date (Month/Day/Year)		ate	3. Title and Amount of So Underlying Derivative Se (Instr. 4)	curity Convers or Exerc		ise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
		Date Exercisable	Expiratio Date	n Title	Amount or Number of Shares	Security		Direct (D) or Indirect (I) (Instr. 5)	5)
	s of Reporting Person [*] DBAL PERFORN	, <u>IANCE</u>	_						
(Last) 55 RAILROAD		ddle)							
(Street) GREENWICH CT 06830									
(City)	(State) (Zij)							
1. Name and Addres <u>Ott David C.</u>	s of Reporting Person [*]								
(Last) 55 RAILROAD		ddle)							

(Street) GREENWICH	СТ	06830
(City)	(State)	(Zip)
	ss of Reporting Personne NOLE ANDR	
(Last) 55 RAILROAD	(First) AVENUE	(Middle)
(Street) GREENWICH	СТ	06830
(City)	(State)	(Zip)
1. Name and Addre Shabet Rose	ss of Reporting Perso <u>Sharon</u>	on*
(Last) 55 RAILROAD	(First) AVENUE	(Middle)
(Street) GREENWICH	СТ	06830
(City)	(State)	(Zip)
	ss of Reporting Personal Equities Mas	
	(First) PORATE SERVIC IGLAND HOUSE	
(Street) GRAND CAYMAN	E9	KY1-1104
(City)	(State)	(Zip)
	ss of Reporting Perso al Equities II L	
(Last) 55 RAILROAD	(First) AVENUE	(Middle)
(Street) GREENWICH	СТ	06830
(City)	(State)	(Zip)
	ss of Reporting Perso Fund Master L	
(Last)	(First)	(Middle)
	PORATE SERVIC	
(Street) GRAND CAYMAN	E9	KY1-1104
(City)	(State)	(Zip)

1. Name and Address of Reporting Person [*] Viking Long Fund GP LLC					
(Last) 55 RAILROAD	(First) AVENUE	(Middle)			
(Street) GREENWICH	СТ	06830			
(City)	(State)	(Zip)			

Explanation of Responses:

1. Andreas Halvorsen, David C. Ott and Rose S. Shabet are Executive Committee members of certain management entities, including Viking Global Partners LLC, the general partner of Viking Global Investors LP ("VGI"), Viking Global Performance LLC ("VGP"), Viking Long Fund GP LLC ("VLFGP"), and Viking Global Opportunities Parent GP LLC ("Parent"), which is the sole member of Viking Global Opportunities GP LLC ("Opportunities GP"), which is the sole member of Viking Global Opportunities Portfolio GP LLC ("Opportunities Portfolio GP"). VGI provides managerial services to various investment funds and vehicles, including Viking Global Equities Master Ltd. ("VGEM"), Viking Global Opportunities Fund").

2. VGP provides managerial services to VGEM and is the general partner of VGEII. VLFGP provides managerial services to VLFM. Opportunities Portfolio GP is the general partner of Opportunities Fund. Each of VGI, VGP, VLFGP, Opportunities GP, Mr. Halvorsen, Mr. Ott and Ms. Shabet (collectively the "Reporting Persons") may be deemed to beneficially own all of the securities reported on this form.

3. These shares are held directly by VGEII. VGEM is the general partner of VGEII. Because of the relationship between VGEM and VGEII, VGEM may be deemed to beneficially own the shares held directly by VGEII

4. These shares are held directly by VGEM. VGP provides managerial services to VGEM. Because of the relationship between VGP and VGEM, VGP may be deemed to beneficially own the shares held directly by VGEM

5. These shares are held directly by Opportunities Fund. VGI provides managerial services to Opportunities Fund. Because of the relationship between VGI and Opportunities Fund, VGI may be deemed to beneficially own the shares held directly by Opportunities Fund

6. Opportunities Portfolio GP is the general partner of Opportunities Fund. Because of the relationship between Opportunities Portfolio GP and Opportunities Fund, Opportunities Portfolio GP may be deemed to beneficially own the shares held directly by Opportunities Fund. Opportunities GP is the sole owner of Opportunities Portfolio GP. Because of the relationship between Opportunities GP and Opportunities Portfolio GP, Opportunities GP may be deemed to beneficially own the shares held directly by Opportunities GP may be deemed to beneficially own the shares held directly by Opportunities GP may be deemed to beneficially own the shares held directly by Opportunities GP may be deemed to beneficially own the shares held directly by Opportunities Fund.

7. These shares are held directly by VLFM. VLFGP provides managerial services to VLFM. Because of the relationship between VLFGP and VLFM, VLFGP may be deemed to beneficially own the shares held directly by VLFM.

8. The Reporting Persons disclaim beneficial ownership of these securities except to the extent of their pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or for any other purpose.

Remarks:

(9) The Reporting Persons are jointly filing this Form 3 pursuant to Rule 16a-3(j) under the Exchange Act. (10) Scott M. Hendler is signing on behalf of Mr. Halvorsen, Mr. Ott and Ms. Shabet, each individually and as an Executive Committee Member of VIKING GLOBAL PARTNERS LLC, on behalf of VIKING GLOBAL INVESTORS LP, and as an Executive Committee Member of VIKING GLOBAL OPPORTUNITIES PARENT GP LLC, on behalf of tiself and VIKING GLOBAL OPPORTUNITIES GP LLC, VIKING GLOBAL OPPORTUNITIES PORTFOLIO GP LLC, and VIKING GLOBAL OPPORTUNITIES ILLIQUID INVESTMENTS SUB-MASTER LP, pursuant to authorization and designation letters dated February 9, 2021, which were filed with the Securities and Exchange Commission on June 7, 2021. (11) Because no more than 10 reporting persons can file any one Form 4 through the SEC's EDGAR system, VGP, VGEII, VGEM, VLFGP and VLFM have jointly filed with the Reporting Persons on a separate Form 4 filing submitted on the same day hereof.

<u>/s/ Scott M. Hendler</u>	
<u>signing on behalf of O.</u>	10/01/2021
<u>Andreas Halvorsen (9)</u>	10/01/2021
<u>(10)</u>	
/s/ Scott M. Hendler	
signing on behalf of David	<u>10/01/2021</u>
<u>C. Ott (9) (10)</u>	
/s/ Scott M. Hendler	
<u>signing on behalf of Rose</u>	<u>10/01/2021</u>
<u>S. Shabet (9) (10)</u>	
** Signature of Boporting	

** Signature of Reporting Date Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.